



Integrated Capital Management

Selecting the Right Solution for Your Client – My Advice Architect

iCM Quantitative Innovations (QI)

Tactical Asset Allocation Portfolios

Portfolios that are managed utilizing iCM's valuations-based global tactical asset allocation strategy.

Platform Access

Program: Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

Strategy Options:
ETF-only
ETF & Mutual Fund – Taxable
ETF & Mutual Fund – Tax Sensitive

Strategy Minimum: \$25,000

Strategist Fee: 18 bps

Risk Profiles

5 Risk Profiles

Capital Preservation (10% Eq / 90% FI)
Income & Growth (35% Eq / 65% FI)
Balanced (50% Eq / 50% FI)
Conservative Growth (60% Eq / 40% FI)
Dynamic Growth (80% Eq / 20% FI)

Description

Portfolio Uses: Total Portfolio or Portfolio Sleeve

Structure: Separately Managed Account (SMA)

Management Style: Active / Tactical

Investor Time Horizon: Intermediate - Long

iCM Tactical ESG

Socially Conscious Model Portfolios

Portfolios are managed utilizing iCM's valuations-based global tactical asset allocation strategy, but implement asset allocation decisions via SRI/ESG-focused ETFs and/or mutual funds.

Platform Access

Program: Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

Investment Vehicles: ETFs & Mutual Funds

Strategy Minimum: \$25,000

Strategist Fee: 18 bps

Risk Profiles

5 Risk Profiles

Capital Preservation (10% Eq / 90% FI)
Income & Growth (35% Eq / 65% FI)
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iCM Strategic Beta (DFA-Based)

Strategic Factor-Based Portfolios

Portfolios of ETFs that are primarily sub-advised by DFA emphasizing factors that have been empirically proven to outperform over long time periods.

Platform Access

Program: Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

Investment Vehicles: ETFs

Strategy Minimum: \$25,000

Strategist Fee: 18 bps

Risk Profiles

5 Risk Profiles

Capital Preservation (10% Eq / 90% FI)
Income & Growth (35% Eq / 65% FI)
Balanced (50% Eq / 50% FI)
Conservative Growth (60% Eq / 40% FI)
Dynamic Growth (80% Eq / 20% FI)

Description

Portfolio Uses: Total Portfolio or Portfolio Sleeve

Structure: Separately Managed Account (SMA)

Management Style: Strategic

Investor Time Horizon: Long-Term



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ICM Tactical Income Closed End (TICE)

Tactical Income Separate Account

A portfolio that tactically allocates between closed end funds (CEFs) and ETFs in order to capture inefficiencies in the CEF market, while providing investors with an attractive level of current income.

Platform Access

Program: Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

Investment Vehicles: Closed End Funds (CEFs) & ETFs

Strategy Minimum: \$25,000

Strategist Fee: 28 bps

Risk Profile

40% Eq / 60% FI Structure

- Taxable Fixed Income – 40%
- Municipal Fixed Income – 20%
- US Equity – 32%
- International Equity – 8%

Description

Portfolio Uses: Portfolio Sleeve or Total Portfolio

Structure: Separately Managed Account (SMA)

Management Style: Active / Tactical

Investor Time Horizon: Intermediate - Long

ICM TICE Alpha Opportunities (TAO)

Unconstrained Tactical Income Portfolios

A combination of iCM TICE and the most attractively valued assets from a global opportunity set. Seeks to achieve long-term capital appreciation with an attractive level of current income

Platform Access

Program: Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

Investment Vehicles: Closed End Funds (CEFs) & ETFs

Strategy Minimum: \$50,000

Strategist Fee: 28 bps

Risk Profile

4 Risk Profiles

- TAO 35/65 - (35% Eq / 65% FI)
- TAO 50/50 - (50% Eq / 50% FI)
- TAO 60/40 - (60% Eq / 40% FI)
- TAO 80/20 - (80% Eq / 20% FI)

Description

Portfolio Uses: Total Portfolio or Portfolio Sleeve

Structure: Separately Managed Account (SMA)

Management Style: Active / Tactical

Investor Time Horizon: Intermediate - Long

iCM Advisor Services Team

**For Strategy Information, Case Design, & Sales Tips
Contact iCM's Advisor Services Team**

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