



Integrated Capital Management

# Selecting the Right Solution for Your Client - Investnet

## iCM Quantitative Innovations (QI)

### Tactical Asset Allocation Portfolios

Portfolios that are managed utilizing iCM's valuations-based global tactical asset allocation strategy.

### Platform Access

**Program:** Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

**Strategy Options:**  
ETF-only  
ETF & Mutual Fund – Taxable  
ETF & Mutual Fund – Tax Sensitive

**Strategy Minimum:** \$25,000

**Strategist Fee:** 18 bps

### Risk Profiles

#### 5 Risk Profiles

- Capital Preservation (10% Eq / 90% FI)
- Income & Growth (35% Eq / 65% FI)
- Balanced (50% Eq / 50% FI)
- Conservative Growth (60% Eq / 40% FI)
- Dynamic Growth (80% Eq / 20% FI)

### Description

**Portfolio Uses:** Total Portfolio or Portfolio Sleeve

**Structure:** Separately Managed Account (SMA)

**Management Style:** Active / Tactical

**Investor Time Horizon:** Intermediate - Long

## iCM Tactical ESG

### Socially Conscious Model Portfolios

Portfolios are managed utilizing iCM's valuations-based global tactical asset allocation strategy, but implement asset allocation decisions via SRI/ESG-focused ETFs and/or mutual funds.

### Platform Access

**Program:** Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

**Investment Vehicles:** ETFs & Mutual Funds

**Strategy Minimum:** \$25,000

**Strategist Fee:** 18 bps

### Risk Profiles

#### 5 Risk Profiles

- Capital Preservation (10% Eq / 90% FI)
- Income & Growth (35% Eq / 65% FI)
- Balanced (50% Eq / 50% FI)
- Conservative Growth (60% Eq / 40% FI)
- Dynamic Growth (80% Eq / 20% FI)

### Description

**Portfolio Uses:** Total Portfolio or Portfolio Sleeve

**Structure:** Separately Managed Account (SMA)

**Management Style:** Active / Tactical

**Investor Time Horizon:** Intermediate - Long

## iCM Strategic Beta (DFA-Based)

### Strategic Factor-Based Portfolios

Portfolios of ETFs that are primarily sub-advised by DFA emphasizing factors that have been empirically proven to outperform over long time periods.

### Platform Access

**Program:** Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

**Investment Vehicles:** ETFs

**Strategy Minimum:** \$25,000

**Strategist Fee:** 18 bps

### Risk Profiles

#### 5 Risk Profiles

- Capital Preservation (10% Eq / 90% FI)
- Income & Growth (35% Eq / 65% FI)
- Balanced (50% Eq / 50% FI)
- Conservative Growth (60% Eq / 40% FI)
- Dynamic Growth (80% Eq / 20% FI)

### Description

**Portfolio Uses:** Total Portfolio or Portfolio Sleeve

**Structure:** Separately Managed Account (SMA)

**Management Style:** Strategic

**Investor Time Horizon:** Long-Term



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## ICM Tactical Income Closed End (TICE)

### Tactical Income Separate Account

A portfolio that tactically allocates between closed end funds (CEFs) and ETFs in order to capture inefficiencies in the CEF market, while providing investors with an attractive level of current income.

### Platform Access

**Program:** Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

**Investment Vehicles:** Closed End Funds (CEFs) & ETFs

**Strategy Minimum:** \$25,000

**Strategist Fee:** 28 bps

### Risk Profile

#### 40% Eq / 60% FI Structure

- Taxable Fixed Income – 40%
- Municipal Fixed Income – 20%
- US Equity – 32%
- International Equity – 8%

### Description

**Portfolio Uses:** Portfolio Sleeve or Total Portfolio

**Structure:** Separately Managed Account (SMA)

**Management Style:** Active / Tactical

**Investor Time Horizon:** Intermediate - Long

## ICM TICE Alpha Opportunities (TAO)

### Unconstrained Tactical Income Portfolios

A combination of iCM TICE and the most attractively valued assets from a global opportunity set. Seeks to achieve long-term capital appreciation with an attractive level of current income

### Platform Access

**Program:** Fund Strategist Portfolio (FSP) / Unified Managed Account (UMA)

**Investment Vehicles:** Closed End Funds (CEFs) & ETFs

**Strategy Minimum:** \$50,000

**Strategist Fee:** 28 bps

### Risk Profile

#### 4 Risk Profiles

- TAO 35/65 - (35% Eq / 65% FI)
- TAO 50/50 - (50% Eq / 50% FI)
- TAO 60/40 - (60% Eq / 40% FI)
- TAO 80/20 - (80% Eq / 20% FI)

### Description

**Portfolio Uses:** Total Portfolio or Portfolio Sleeve

**Structure:** Separately Managed Account (SMA)

**Management Style:** Active / Tactical

**Investor Time Horizon:** Intermediate - Long

## iCM Advisor Services Team

**For Strategy Information, Case Design, & Sales Tips  
Contact iCM's Advisor Services Team**

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