



Integrated Capital Management

Selecting the Right Solution for Your Client – Advisor Direct

iCM Asset Allocation Strategies	Platform Access	Risk Profiles	Description
<p>Tactical Asset Allocation Portfolios</p> <p>Portfolios that are managed utilizing iCM’s valuations-based global tactical asset allocation strategy.</p>	<p>Custody: TD Ameritrade Institutional / Folio Institutional</p> <p>Strategy Options: ETF-only ETF & Mutual Fund – Taxable ETF & Mutual Fund – Tax Sensitive ETF & Mutual Fund - Hedged</p> <p>Strategy Minimum: \$10,000</p> <p>Management Fee: 25-40 bps</p>	<p>10 Risk Profiles</p> <p>Global Income Strategies</p> <p>100% FI 10% Eq / 90% FI 20% Eq / 80% FI</p> <p>Global Balanced Strategies</p> <p>35% Eq / 65% FI 50% Eq / 50% FI 60% Eq / 40% FI</p> <p>Global Growth Strategies</p> <p>70% Eq / 30% FI 80% Eq / 20% FI 90% Eq / 10% FI 100% Eq</p>	<p>Portfolio Uses: Total Portfolio <u>or</u> Portfolio Sleeve</p> <p>Structure: Separately Managed Account (SMA)</p> <p>Management Style: Active / Tactical</p> <p>Investor Time Horizon: Intermediate - Long</p>
iCM Tactical ESG	Platform Access	Risk Profiles	Description
<p>Socially Conscious Model Portfolios</p> <p>Portfolios are managed utilizing iCM’s valuations-based global tactical asset allocation strategy, but implement asset allocation decisions via SRI/ESG-focused ETFs and/or mutual funds.</p>	<p>Custody: TD Ameritrade Institutional / Folio Institutional</p> <p>Investment Vehicles: ETFs & Mutual Funds</p> <p>Strategy Minimum: \$10,000</p> <p>Strategist Fee: 25-40 bps</p>	<p>5 Risk Profiles</p> <p>Capital Preservation (10% Eq / 90% FI)</p> <p>Income & Growth (35% Eq / 65% FI)</p> <p>Balanced (50% Eq / 50% FI)</p> <p>Conservative Growth (60% Eq / 40% FI)</p> <p>Dynamic Growth (80% Eq / 20% FI)</p>	<p>Portfolio Uses: Total Portfolio <u>or</u> Portfolio Sleeve</p> <p>Structure: Separately Managed Account (SMA)</p> <p>Management Style: Active / Tactical</p> <p>Investor Time Horizon: Intermediate - Long</p>
iCM Strategic Beta (DFA-Based)	Platform Access	Risk Profiles	Description
<p>Strategic Factor-Based Portfolios</p> <p>Portfolios of ETFs that are primarily sub-advised by DFA emphasizing factors that have been empirically proven to outperform over long time periods.</p>	<p>Custody: TD Ameritrade Institutional / Folio Institutional</p> <p>Investment Vehicles: ETFs</p> <p>Strategy Minimum: \$10,000</p> <p>Strategist Fee: 25-40 bps</p>	<p>5 Risk Profiles</p> <p>Capital Preservation (10% Eq / 90% FI)</p> <p>Income & Growth (35% Eq / 65% FI)</p> <p>Balanced (50% Eq / 50% FI)</p> <p>Conservative Growth (60% Eq / 40% FI)</p> <p>Dynamic Growth (80% Eq / 20% FI)</p>	<p>Portfolio Uses: Total Portfolio <u>or</u> Portfolio Sleeve</p> <p>Structure: Separately Managed Account (SMA)</p> <p>Management Style: Strategic</p> <p>Investor Time Horizon: Long-Term</p>



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ICM Tactical Income Closed End (TICE)

Tactical Income Separate Account

A portfolio that tactically allocates between closed end funds (CEFs) and ETFs in order to capture inefficiencies in the CEF market, while providing investors with an attractive level of current income.

Platform Access

Custody: TD Ameritrade Institutional / Folio Institutional

Investment Vehicles: Closed End Funds (CEFs) & ETFs

Strategy Minimum: \$25,000

Strategist Fee: 25-40 bps (Asset-Based Pricing +15 bps)

Risk Profile

40% Eq / 60% FI Structure

- Taxable Fixed Income – 40%
- Municipal Fixed Income – 20%
- US Equity – 32%
- International Equity – 8%

Description

Portfolio Uses: Portfolio Sleeve or Total Portfolio

Structure: Separately Managed Account (SMA)

Management Style: Active / Tactical

Investor Time Horizon: Intermediate - Long

ICM TICE Alpha Opportunities (TAO)

Unconstrained Tactical Income Portfolios

A combination of iCM TICE and the most attractively valued assets from a global opportunity set. Seeks to achieve long-term capital appreciation with an attractive level of current income

Platform Access

Custody: TD Ameritrade Institutional / Folio Institutional

Investment Vehicles: Closed End Funds (CEFs) & ETFs

Strategy Minimum: \$50,000

Strategist Fee: 25-40 bps (Asset-Based Pricing +15 bps)

Risk Profile

4 Risk Profiles

- TAO 35/65 - (35% Eq / 65% FI)
- TAO 50/50 - (50% Eq / 50% FI)
- TAO 60/40 - (60% Eq / 40% FI)
- TAO 80/20 - (80% Eq / 20% FI)

Description

Portfolio Uses: Total Portfolio or Portfolio Sleeve

Structure: Separately Managed Account (SMA)

Management Style: Active / Tactical

Investor Time Horizon: Intermediate - Long

iCM Advisor Services Team

**For Strategy Information, Case Design, & Sales Tips
Contact iCM's Advisor Services Team**

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